Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515
http://lobbyingdisclosure.house.gov

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510
http://www.senate.gov/lobby

# LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) **- All Filers Are Required to Complete This Page**

**1. Registrant Name** ☑ Organization/Lobbying Firm ☐ Self Employed Individual

Ernst & Young LLP (Washington Council Ernst & Young)

2. Address ☐ **Check if different than previously reported**

Address1 1001 Pennsylvania Avenue, N.W. Address2 SUITE 601

City WASHINGTON State DC Zip Code 20004 - Country USA

3. Principal place of business (if different than line 2)

City State Zip Code - Country

4a. Contact Name: Gary Gasper

b. Telephone Number ☐ International Number: (202) 293-7474

c. E-mail: lee.moe@wc.ey.com

5. Senate ID#: 57475-152

7. Client Name ☐ *Self* ☐ *Check if client is a state or local government or instrumentality*

MERRILL LYNCH

6. House ID#: 351850062

**TYPE OF REPORT** 8. Year 2008 Q1 (1/1 - 3/31) ☐ Q2 (4/1 - 6/30) ☐ Q3 (7/1-9/30) ☐ Q4 (10/1 - 12/31) ☑

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☑ Termination Date 12/31/2008 11. No Lobbying Issue Activity ☐

**INCOME OR EXPENSES** **- YOU MUST complete either Line 12 or Line 13**

**12. Lobbying**

**INCOME** relating to lobbying activities for this reporting period was:

Less than $5,000 ☐

$5,000 or more ☑ $ $ 152,000.00

Provide a good faith estimate, rounded to the nearest $10,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

**13. Organizations**

**EXPENSE** relating to lobbying activities for this reporting period were:

Less than $5,000 ☐

$5,000 or more ☐ $

**14. REPORTING** Check box to indicate expense accounting method. See instructions for description of options.

☐ **Method A.** Reporting amounts using LDA definitions only

☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

**Signature** Filed Electronically **Date** 01/06/2009

**Printed Name and Title** Gary Gasper, Partner

v6.0.1f

Registrant Ernst & Young LLP (Washington Council Ernst & Young) Client Name MERRILL LYNCH

**LOBBYING ACTIVITY**. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code BUD BUDGET/APPROPRIATIONS (one per page)

16. Specific lobbying issues

General budget issues

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Executive Office of the President (EOP), Treasury - Dept of

18. Name of each individual who acted as a lobbyist in this issue area

| First Name | Last Name | Suffix | Covered Official Position (if applicable) | New |
|---|---|---|---|---|
| Tara | Bradshaw | | | ☐ |
| John | Doney | | | ☐ |
| Donna | Steele-Flynn | | | ☐ |
| LaBrenda | Garrett-Nelson | | | ☐ |
| Gary | Gasper | | | ☐ |
| Amy | Swonger | | | ☐ |
| Nick | Giordano | | | ☐ |
| Francis | Grab | | | ☐ |
| Will | Heyniger | | | ☐ |

19. Interest of each foreign entity in the specific issues listed on line 16 above ☑ Check if None

**Printed Name and Title** Gary Gasper, Partner

Registrant Ernst & Young LLP (Washington Council Ernst & Young) Client Name MERRILL LYNCH

**ADDENDUM for General Lobbying Issue** BUD - BUDGET/APPROPRIATIONS

| Name First | Name Last | Name Suffix | Covered Official Position (if applicable) | New |
|---|---|---|---|---|
| Dave | Koshgarian | | | ☐ |
| Richard | Meltzer | | | ☐ |
| Anne | Phelps | | | ☐ |
| John | Porter | | | ☐ |
| Kurt | Ritterpusch | | | ☐ |
| Robert | Rozen | | | ☐ |
| Tim | Urban | | | ☐ |
| Jeff | Petrich | | | ☐ |
| Holly | Bode | | HHS special asst. to asst. sec. 94-99 | ☑ |
| Holly | Bode | | Rep. Sander Levin, LA 93-94 | ☑ |
| Holly | Bode | | Sen. Aging Com., LC 1985-1993 | ☑ |

**Printed Name and Title** Gary Gasper, Partner

Registrant Ernst & Young LLP (Washington Council Ernst & Young) Client Name MERRILL LYNCH

**LOBBYING ACTIVITY**. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code FIN FINANCIAL INSTITUTIONS/INVESTMENTS/SECURITIES (one per page)

16. Specific lobbying issues

Privacy; deposit insurance; interest in business checking; industrial loan companies (ILCs); savings incentives

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Executive Office of the President (EOP), Treasury - Dept of

18. Name of each individual who acted as a lobbyist in this issue area

| First Name | Last Name | Suffix | Covered Official Position (if applicable) | New |
|---|---|---|---|---|
| Tara | Bradshaw | | | ☐ |
| John | Doney | | | ☐ |
| Donna | Steele-Flynn | | | ☐ |
| LaBrenda | Garrett-Nelson | | | ☐ |
| Gary | Gasper | | | ☐ |
| Amy | Swonger | | | ☐ |
| Nick | Giordano | | | ☐ |
| Francis | Grab | | | ☐ |
| Will | Heyniger | | | ☐ |

19. Interest of each foreign entity in the specific issues listed on line 16 above ☑ Check if None

**Printed Name and Title** Gary Gasper, Partner

Registrant Ernst & Young LLP (Washington Council Ernst & Young) Client Name MERRILL LYNCH

**ADDENDUM for General Lobbying Issue** FIN - FINANCIAL INSTITUTIONS/INVESTMENTS/SECURITIES

| Name First | Name Last | Name Suffix | Covered Official Position (if applicable) | New |
|---|---|---|---|---|
| Dave | Koshgarian | | | ☐ |
| Richard | Meltzer | | | ☐ |
| Anne | Phelps | | | ☐ |
| John | Porter | | | ☐ |
| Kurt | Ritterpusch | | | ☐ |
| Robert | Rozen | | | ☐ |
| Tim | Urban | | | ☐ |
| Jeff | Petrich | | | ☐ |
| Holly | Bode | | HHS special asst to asst. Sec. 94-99 | ☑ |
| Holly | Bode | | Rep. Sander Levin, LA 93-94 | ☑ |
| Holly | Bode | | Sen. Aging Com., LC 1985-1993 | ☑ |

**Printed Name and Title** Gary Gasper, Partner

Registrant Ernst & Young LLP (Washington Council Ernst & Young) Client Name MERRILL LYNCH

**LOBBYING ACTIVITY**. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code RET RETIREMENT (one per page)

16. Specific lobbying issues

General retirement issues; Pension reform; Retirement security

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Executive Office of the President (EOP), Labor - Dept of (DOL)

18. Name of each individual who acted as a lobbyist in this issue area

| First Name | Last Name | Suffix | Covered Official Position (if applicable) | New |
|---|---|---|---|---|
| Tara | Bradshaw | | | ☐ |
| John | Doney | | | ☐ |
| Donna | Steele-Flynn | | | ☐ |
| LaBrenda | Garrett-Nelson | | | ☐ |
| Gary | Gasper | | | ☐ |
| Amy | Swonger | | | ☐ |
| Nick | Giordano | | | ☐ |
| Francis | Grab | | | ☐ |
| Will | Heyniger | | | ☐ |

19. Interest of each foreign entity in the specific issues listed on line 16 above ☑ Check if None

**Printed Name and Title** Gary Gasper, Partner

Registrant Ernst & Young LLP (Washington Council Ernst & Young) Client Name MERRILL LYNCH

**ADDENDUM for General Lobbying Issue** RET - RETIREMENT

| Name First | Name Last | Name Suffix | Covered Official Position (if applicable) | New |
|---|---|---|---|---|
| Dave | Koshgarian | | | ☐ |
| Richard | Meltzer | | | ☐ |
| Anne | Phelps | | | ☐ |
| John | Porter | | | ☐ |
| Kurt | Ritterpusch | | | ☐ |
| Robert | Rozen | | | ☐ |
| Tim | Urban | | | ☐ |
| Jeff | Petrich | | | ☐ |
| Holly | Bode | | HHS special asst. to asst. sec 94-99 | ☑ |
| Holly | Bode | | Rep. Sander Levin, LA 93-94 | ☑ |
| Holly | Bode | | Sen. Aging Com., LC 1985-1993 | ☑ |

**Printed Name and Title** Gary Gasper, Partner

Registrant Ernst & Young LLP (Washington Council Ernst & Young) Client Name MERRILL LYNCH

**LOBBYING ACTIVITY**. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code TAX TAXATION/INTERNAL REVENUE CODE (one per page)

16. Specific lobbying issues

General tax issues relating to retirement security; other misc. tax issues

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Executive Office of the President (EOP), Treasury - Dept of

18. Name of each individual who acted as a lobbyist in this issue area

| First Name | Last Name | Suffix | Covered Official Position (if applicable) | New |
|---|---|---|---|---|
| Tara | Bradshaw | | | ☐ |
| John | Doney | | | ☐ |
| Donna | Steele-Flynn | | | ☐ |
| LaBrenda | Garrett-Nelson | | | ☐ |
| Gary | Gasper | | | ☐ |
| Amy | Swonger | | | ☐ |
| Nick | Giordano | | | ☐ |
| Francis | Grab | | | ☐ |
| Will | Heyniger | | | ☐ |

19. Interest of each foreign entity in the specific issues listed on line 16 above ☑ Check if None

**Printed Name and Title** Gary Gasper, Partner

Registrant Ernst & Young LLP (Washington Council Ernst & Young) Client Name MERRILL LYNCH

**ADDENDUM for General Lobbying Issue** TAX - TAXATION/INTERNAL REVENUE CODE

| Name First | Name Last | Name Suffix | Covered Official Position (if applicable) | New |
|---|---|---|---|---|
| Dave | Koshgarian | | | ☐ |
| Richard | Meltzer | | | ☐ |
| Anne | Phelps | | | ☐ |
| John | Porter | | | ☐ |
| Kurt | Ritterpusch | | | ☐ |
| Robert | Rozen | | | ☐ |
| Tim | Urban | | | ☐ |
| Jeff | Petrich | | | ☐ |
| Holly | Bode | | HHS special asst. to asst. Sec. 94-99 | ☑ |
| Holly | Bode | | Rep. Sander Levin, LA 93-94 | ☑ |
| Holly | Bode | | Sen. Aging Com., LC 1985-1993 | ☑ |

**Printed Name and Title** Gary Gasper, Partner

Registrant Ernst & Young LLP (Washington Council Ernst & Young) Client Name MERRILL LYNCH

**LOBBYING ACTIVITY**. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code HCR HEALTH ISSUES (one per page)

16. Specific lobbying issues

General healthcare issues

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Health & Human Services - Dept of (HHS)

18. Name of each individual who acted as a lobbyist in this issue area

| First Name | Last Name | Suffix | Covered Official Position (if applicable) | New |
|---|---|---|---|---|
| Tara | Bradshaw | | | ☐ |
| John | Doney | | | ☐ |
| Donna | Flynn | | | ☐ |
| LaBrenda | Garrett-Nelson | | | ☐ |
| Gary | Gasper | | | ☐ |
| Nick | Giordano | | | ☐ |
| Francis | Grab | | | ☐ |
| Dave | Koshgarian | | | ☐ |
| Will | Heyniger | | | ☐ |

19. Interest of each foreign entity in the specific issues listed on line 16 above ☑ Check if None

**Printed Name and Title** Gary Gasper, Partner

Registrant Ernst & Young LLP (Washington Council Ernst & Young) Client Name MERRILL LYNCH

**ADDENDUM for General Lobbying Issue** HCR - HEALTH ISSUES

| Name First | Name Last | Name Suffix | Covered Official Position (if applicable) | New |
|---|---|---|---|---|
| Richard | Meltzer | | | ☐ |
| Jeff | Petrich | | | ☐ |
| Anne | Phelps | | | ☐ |
| John | Porter | | | ☐ |
| Kurt | Ritterpusch | | | ☐ |
| Robert | Rozen | | | ☐ |
| Amy | Swonger | | | ☐ |
| Tim | Urban | | | ☐ |
| Holly | Bode | | HHS special asst. to asst. sec. 94-99 | ☑ |
| Holly | Bode | | Rep. Sander Levin, LA 93-94 | ☑ |
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**Printed Name and Title** Gary Gasper, Partner