Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515
http://lobbyingdisclosure.house.gov

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510
http://www.senate.gov/lobby

# LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) **- All Filers Are Required to Complete This Page**

**1. Registrant Name** ☑ Organization/Lobbying Firm ☐ Self Employed Individual

JOLLY/RISSLER, INC.

2. Address ☐ **Check if different than previously reported**

Address1 1001 Pennsylvania Avenue, NW Address2 601 North

City WASHINGTON State DC Zip Code 20004 - Country USA

3. Principal place of business (if different than line 2)

City State Zip Code - Country

4a. Contact Name THOMAS R. JOLLY

b. Telephone Number ☐ International Number (202) 293-3330

c. E-mail tjolly@jollyrissler.com

5. Senate ID# 20835-36

7. Client Name ☐ *Self* ☐ *Check if client is a state or local government or instrumentality*

CNA FINANCIAL CORPORATION

6. House ID# 333590001

**TYPE OF REPORT** 8. Year 2009 Q1 (1/1 - 3/31) ☐ Q2 (4/1 - 6/30) ☑ Q3 (7/1-9/30) ☐ Q4 (10/1 - 12/31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ Termination Date _____ 11. No Lobbying Issue Activity ☐

**INCOME OR EXPENSES** **- YOU MUST complete either Line 12 or Line 13**

**12. Lobbying**

**INCOME** relating to lobbying activities for this reporting period was:

Less than $5,000 ☐

$5,000 or more ☑ $ $ 50,000.00

Provide a good faith estimate, rounded to the nearest $10,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

**13. Organizations**

**EXPENSE** relating to lobbying activities for this reporting period were:

Less than $5,000 ☐

$5,000 or more ☐ $

**14. REPORTING** Check box to indicate expense accounting method. See instructions for description of options.

☐ **Method A.** Reporting amounts using LDA definitions only

☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

**Signature** Filed Electronically **Date** 07/17/2009

**Printed Name and Title** Thomas R. Jolly, Chairman

v6.0.1f

Registrant JOLLY/RISSLER, INC. Client Name CNA FINANCIAL CORPORATION

**LOBBYING ACTIVITY**. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code BAN BANKING (one per page)

16. Specific lobbying issues

All issues related to financial services reform, bankruptcy reform, regulations and policy decisions regarding bank sales of insurance-type products issued by the Office of the Comptroller of Currency

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

| First Name | Last Name | Suffix | Covered Official Position (if applicable) | New |
|---|---|---|---|---|
| Thomas R. | Jolly | | | ☐ |
| Patricia F. | Rissler | | | ☐ |
| Elizabeth N. | Fox | | | ☐ |
| | | | | ☐ |
| | | | | ☐ |
| | | | | ☐ |
| | | | | ☐ |
| | | | | ☐ |
| | | | | ☐ |

19. Interest of each foreign entity in the specific issues listed on line 16 above ☑ Check if None

**Printed Name and Title** Thomas R. Jolly, Chairman

Registrant JOLLY/RISSLER, INC. Client Name CNA FINANCIAL CORPORATION

**LOBBYING ACTIVITY**. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code HCR HEALTH ISSUES (one per page)

16. Specific lobbying issues

Issues related to Asbestos, Long-Term Care Insurance

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

| First Name | Last Name | Suffix | Covered Official Position (if applicable) | New |
|---|---|---|---|---|
| Thomas R. | Jolly | | | ☐ |
| Patricia F. | Rissler | | | ☐ |
| Elizabeth N. | Fox | | | ☐ |
| | | | | ☐ |
| | | | | ☐ |
| | | | | ☐ |
| | | | | ☐ |
| | | | | ☐ |
| | | | | ☐ |

19. Interest of each foreign entity in the specific issues listed on line 16 above ☑ Check if None

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15. General issue area code INS INSURANCE (one per page)

16. Specific lobbying issues

Issues related to asbestos compensation, medical malpractice insurance, flood insurance, worker's compensation, risk
retention groups, surplus lines and regulation of insurance and systemic risk.

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

| First Name | Last Name | Suffix | Covered Official Position (if applicable) | New |
|---|---|---|---|---|
| Thomas R. | Jolly | | | ☐ |
| Patricia F. | Rissler | | | ☐ |
| Elizabeth N. | Fox | | | ☐ |
| | | | | ☐ |
| | | | | ☐ |
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| | | | | ☐ |

19. Interest of each foreign entity in the specific issues listed on line 16 above ☑ Check if None

**Printed Name and Title** Thomas R. Jolly, Chairman

Registrant JOLLY/RISSLER, INC. Client Name CNA FINANCIAL CORPORATION

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15. General issue area code TAX TAXATION/INTERNAL REVENUE CODE (one per page)

16. Specific lobbying issues

Issues related to proposed taxes affecting insurance, proposed legislation on tax exempt municipal bonds, the Pro Rata disallowance Rule

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

| First Name | Last Name | Suffix | Covered Official Position (if applicable) | New |
|---|---|---|---|---|
| Thomas R. | Jolly | | | ☐ |
| Patricia F. | Rissler | | | ☐ |
| Elizabeth N. | Fox | | | ☐ |
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**Printed Name and Title** Thomas R. Jolly, Chairman

Registrant JOLLY/RISSLER, INC. Client Name CNA FINANCIAL CORPORATION

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15. General issue area code TOR TORTS (one per page)

16. Specific lobbying issues

Issues related to tort reform, medical malpractice

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

| First Name | Last Name | Suffix | Covered Official Position (if applicable) | New |
|---|---|---|---|---|
| Thomas R. | Jolly | | | ☐ |
| Patricia F. | Rissler | | | ☐ |
| Elizabeth N. | Fox | | | ☐ |
| | | | | ☐ |
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15. General issue area code FIN FINANCIAL INSTITUTIONS/INVESTMENTS/SECURITIES (one per page)

16. Specific lobbying issues

Issues related to Mark to Market accounting practices

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

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|---|---|---|---|---|
| Thomas R | Jolly | | | ☐ |
| Patricia F | Rissler | | | ☐ |
| Elizabeth N | Fox | | | ☐ |
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**Printed Name and Title** Thomas R. Jolly, Chairman