Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515
http://lobbyingdisclosure.house.gov

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510
http://www.senate.gov/lobby

# LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) **- All Filers Are Required to Complete This Page**

**1. Registrant Name** ☑ Organization/Lobbying Firm ☐ Self Employed Individual

PRICEWATERHOUSECOOPERS

2. Address ☐ **Check if different than previously reported**

Address1 1301 K STREET NW Address2 SUITE 800W

City WASHINGTON State DC Zip Code 20005 - Country USA

3. Principal place of business (if different than line 2)

City New York State NY Zip Code 10019 - Country USA

4a. Contact Name: LAURA COX KAPLAN

b. Telephone Number ☐ **International Number**: (202) 312-7738

c. E-mail: laura.cox.kaplan@us.pwc.com

5. Senate ID#: 10764-48

7. Client Name ☑ *Self* ☐ *Check if client is a state or local government or instrumentality*

PRICEWATERHOUSECOOPERS

6. House ID#: 343410047

**TYPE OF REPORT** 8. Year 2008 Q1 (1/1 - 3/31) ☐ Q2 (4/1 - 6/30) ☐ Q3 (7/1-9/30) ☐ Q4 (10/1 - 12/31) ☑

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ Termination Date _____ 11. No Lobbying Issue Activity ☐

**INCOME OR EXPENSES** **- YOU MUST complete either Line 12 or Line 13**

**12. Lobbying**

**INCOME** relating to lobbying activities for this reporting period was:

Less than $5,000 ☐

$5,000 or more ☐ $ _____

Provide a good faith estimate, rounded to the nearest $10,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

**13. Organizations**

**EXPENSE** relating to lobbying activities for this reporting period were:

Less than $5,000 ☐

$5,000 or more ☑ $ $ 470,000.00

**14. REPORTING** Check box to indicate expense accounting method. See instructions for description of options.

☑ **Method A.** Reporting amounts using LDA definitions only

☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

**Signature** Filed Electronically **Date** 01/15/2009

**Printed Name and Title** Laura Cox Kaplan, Leader, U.S. Government, Regulatory Affairs and Public Policy

Registrant PRICEWATERHOUSECOOPERS Client Name PRICEWATERHOUSECOOPERS

**LOBBYING ACTIVITY**. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code ACC ACCOUNTING (one per page)

16. Specific lobbying issues

HR 1508 - Compete Act of 2007, all sections; S 869 - Competitive and Open Markets that Protect and Enhance the Treatment of Entrepreneur Act of 2007, all sections; HR 1550 - Regulatory Relief and Fairness Act, all sections; HR 1780 - Small Business Securities Protection Act, all sections; HR 1869 - Community Banks Serving their Communities First Act, Section 102; S 1405 - Community Banks Serving their Communities First Act, Section 102; see addendum

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Securities & Exchange Commission (SEC), Treasury - Dept of

18. Name of each individual who acted as a lobbyist in this issue area

| First Name | Last Name | Suffix | Covered Official Position (if applicable) | New |
|---|---|---|---|---|
| Amy | Best | | | ☐ |
| Roslyn | Brooks | | | ☐ |
| Laura Cox | Kaplan | | | ☐ |
| Michael | O'Brien | | | ☐ |
| | | | | ☐ |
| | | | | ☐ |
| | | | | ☐ |
| | | | | ☐ |
| | | | | ☐ |

19. Interest of each foreign entity in the specific issues listed on line 16 above ☑ Check if None

**Printed Name and Title** Laura Cox Kaplan, Leader, U.S. Government, Regulatory Affairs and Public Policy

**ADDENDUM for General Lobbying Issue Area:** ACC - ACCOUNTING

HR 2727 - Small Business SOX, Compliance Extension, all sections; HR 1049 - Amend Misinterpreted Excessive Regulation in Corporate America Act, all sections; PL 107-204 - Sarbanes - Oxley Act of 2002, rules and procedures in implementing law; Department of Housing and Urban Development Proposed Rule on Establishing Independent Public Accountant Roster, all aspects; HR 1424 - Emergency Economic Stabilzation Act of 2008, all sections; HR 7190 - Fair Value Accounting Standards Reform Act of 2008, all sections; International Financial Reporting Standards (IFRS) - Support for Global Accounting Standards.

**Printed Name and Title** Laura Cox Kaplan, Leader, U.S. Government, Regulatory Affairs and Public Policy

Registrant PRICEWATERHOUSECOOPERS Client Name PRICEWATERHOUSECOOPERS

**LOBBYING ACTIVITY**. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code TAX TAXATION/INTERNAL REVENUE CODE (one per page)

16. Specific lobbying issues

HR 3359 - Mobile Workforce State Income tax Fairness and Simplification Act of 2007, all sections; HR 4318 - To Amend the Internal Revenue Code of 1986 to modify the penalty on the understatement of taxpayer's liability by tax return preparers; HR 5719 - Taxpayer Assistance and Simplification Act of 2008, Section 2 - Modification of penalty on the understatement of taxpayer's liability by tax return preparer; S 2851 - To Amend the Internal Revenue Code of 1986 to modify the penalty on the understatement of

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Treasury - Dept of, Internal Revenue Service (IRS)

18. Name of each individual who acted as a lobbyist in this issue area

| First Name | Last Name | Suffix | Covered Official Position (if applicable) | New |
|---|---|---|---|---|
| Amy | Best | | | ☐ |
| Roslyn | Brooks | | | ☐ |
| Laura Cox | Kaplan | | | ☐ |
| Michael | O'Brien | | | ☐ |
| | | | | ☐ |
| | | | | ☐ |
| | | | | ☐ |
| | | | | ☐ |
| | | | | ☐ |

19. Interest of each foreign entity in the specific issues listed on line 16 above ☑ Check if None

**Printed Name and Title** Laura Cox Kaplan, Leader, U.S. Government, Regulatory Affairs and Public Policy

**ADDENDUM for General Lobbying Issue Area:** TAX - TAXATION/INTERNAL REVENUE CODE

taxpayer's liability by tax return preparers, all sections; HR 6049, Renewable Energy and Job Creation Act of 2008, Title III, Subtitle C, Section 321, modification of penalty on underpayment of taxpayer's liability by tax return preparer

**Printed Name and Title** Laura Cox Kaplan, Leader, U.S. Government, Regulatory Affairs and Public Policy

Registrant PRICEWATERHOUSECOOPERS Client Name PRICEWATERHOUSECOOPERS

**LOBBYING ACTIVITY**. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code CPT COPYRIGHT/PATENT/TRADEMARK (one per page)

16. Specific lobbying issues

HR 2365 - to amend title 35, United States Code, to limit damages and other remedies with respect to patents for tax planning methods, all sections; HR 1908 - Patent Reform Act of 2007, to amend title 35, United States Code, to provide for patent reform, Section 10; S 2369 - a bill to amend title 35, United States Code, to provide that certain tax planning inventions are not patentable, and for other purposes, all sections; S 1145 - to amend title 35, United States Code, to provide for patent reform

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Treasury - Dept of, Patent & Trademark Office (PTO), Internal Revenue Service (IRS)

18. Name of each individual who acted as a lobbyist in this issue area

| First Name | Last Name | Suffix | Covered Official Position (if applicable) | New |
|---|---|---|---|---|
| Amy | Best | | | ☐ |
| Roslyn | Brooks | | | ☐ |
| Laura Cox | Kaplan | | | ☐ |
| Michael | O'Brien | | | ☐ |
| | | | | ☐ |
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| | | | | ☐ |
| | | | | ☐ |
| | | | | ☐ |

19. Interest of each foreign entity in the specific issues listed on line 16 above ☑ Check if None

**Printed Name and Title** Laura Cox Kaplan, Leader, U.S. Government, Regulatory Affairs and Public Policy

Registrant PRICEWATERHOUSECOOPERS Client Name PRICEWATERHOUSECOOPERS

**LOBBYING ACTIVITY**. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code IMM IMMIGRATION (one per page)

16. Specific lobbying issues

H.R. 6039 - To amend the Immigration and Nationality Act to authorize certain aliens who have earned a master's or higher degree from a United States institution of higher education in a field of science, technology, engineering, or mathematics to be admitted for permanent residence, definition of STEM degrees; S. 3084 (same title as above - same focus); Department of Homeland Security Optical Practical Training Interim Final Rule, definition of science, technology, engineering and math (STEM) degrees.

17. House(s) of Congress and Federal agencies ☐ Check if None

Homeland Security - Dept of (DHS), White House Office, U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

| First Name | Last Name | Suffix | Covered Official Position (if applicable) | New |
|---|---|---|---|---|
| Roslyn | Brooks | | | ☐ |
| Michael | O'Brien | | | ☐ |
| | | | | ☐ |
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| | | | | ☐ |

19. Interest of each foreign entity in the specific issues listed on line 16 above ☑ Check if None

**Printed Name and Title** Laura Cox Kaplan, Leader, U.S. Government, Regulatory Affairs and Public Policy